# CALTRANS STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION BID FOR

CONSTRUCTION ON STATE HIGHWAY IN
BUTTE COUNTY NEAR PARADISE FROM 1.9 MILES NORTH OF CLEAR CREEK BRIDGE TO
AIRPORT ROAD
In District 03 on Route 191

#### UNDER

Notice to Bidders and Special Provisions dated October 17, 2016 Project plans approved August 15, 2016 Standard Specifications dated 2015 Standard Plans dated 2015

Identified by

Contract No. 03-3F7604

03-But-191-6.8/8.6

Project ID 0313000165

FEDERAL-AID PROJECT ACHSST-P191(007)E

Bids open Tuesday, November 29, 2016

Dated October 17, 2016

AADD

Bidder Name: J.F. Shea Construction, Inc.

BID TO THE DEPARTMENT OF TRANSPORTATION

DES-OE-0102.1

Bidder ID: VC0000022972

Name of Bidder J.F. Shea Construction, Inc. Business Street Address P.O. Box 494519 (Include even if P.O. Box is used) Business P.O. Box City, State, Zip Redding, CA, 96049 Telephone No: AREA CODE 530-246-4292 Fax No: AREA CODE 530-246-9940 Contractor License No. 769989

Public Works Contractor Registration No. 1000001536

Checksum AFC140B0

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non�plant establishment work within the number of working days bid for non@plant establishment work.

- 2. Bidder has and acknowledges the following addenda: 4 12-07-16 Revise Project Plans, Notice to Bidders and Special Provisions,
- 3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Electronic Bidder's Bond
- 4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:

\_\_\_\_\_

- 4.1 Criminal prosecution
- 4.2 Rejection of the bid
- 4.3 Rescission of the award
- 4.4 Termination of the Contract

PRINTED NAME: Ed Kernaghan AND

TITLE OF PERSON SIGNING: Vice President

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-3F7604 Project ID: 0313000165

Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972 BID ITEM LIST

4			****	ГЕМ L.			
Item   No.	   Item   Code 	_	  Uni  Mea	Quan t of	mated ntity		   Item Total     
+	070030  PLAN	LEAD COMPLIANCE	     LS	LUMP	SUM	   LUMP SUM 	2,300.00
1		PROGRESS E (CRITICAL PATH	     LS	LUMP	SUM	   LUMP SUM 	7,600.00
13	090105  OVERHEAI	TIME-RELATED O (LS)	     LS	LUMP	SUM	!   LUMP SUM 	149,000.00
i i 4 I	120090  AREA SIG	CONSTRUCTION GNS	! !   LS	LUMP	SUM	   LUMP SUM 	9,600.00    9,600.00
   5 	120100  SYSTEM 	TRAFFIC CONTROL	     LS	LUMP	SUM	   LUMP SUM 	   660,000.00
! ! 6 !	120120  BARRICAI 	TYPE III DE	    EA		16.0	   175.00 	2,800.00
   7 		CHANNELIZER E MOUNTED)	    EA		62.0	   47.00	   2,914.00  
   8 		PORTABLE BLE MESSAGE SIGN	     LS	LUMP	SUM	   LUMP SUM 	   115,000.00!
   9 			    LF	3,(	000.00	   28.00 	   84,000.00
			    EA		15.0	   11,000.00	   165,000.00  
	130100  MANAGEM! 	JOB SITE ENT	     LS		SUM	   LUMP SUM	   41,500.00
	130300  WATER PO		     LS		SUM	   LUMP SUM 	4,400.00

Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972
BID ITEM LIST

+			TO TIEM FIST		
   Item   No.			Estimated   Quantity  Unit of  Measure		Item Total         
	130310  ACTION E		   29.0  EA	   500.00 	14,500.00
-	•	STORM WATER G AND ANALYSIS	   55.0  EA	i   500.00 	27,500.00
•	130330  ANNUAL E		   3.0  EA	2,000.00	6,000.00
•		TEMPORARY CONTROL BLANKET	   10,000.0  SQYD	   7.00 	70,000.00
•		MOVE-IN/MOVE-OUT ARY EROSION	   12.0  EA	   800.00 	9,600.00
	130530  HYDRAULI  FIBER MA	IC MULCH (BONDED	   100,000.0  SQYD	1.10	110,000.00
    19 	130570 	TEMPORARY COVER	   10,000.0  SQYD	   1.40	14,000.00
! !20 !	130610  DAM	TEMPORARY CHECK	   11,000.0  LF	   8.00	88,000.001
121	130620  DRAINAGE  PROTECTI		   10.0  EA	300.00	3,000.00
•	130640  ROLL	TEMPORARY FIBER		   6.00	420,000.00
	130680  FENCE 		   19,000.0  LF	   5.00	95,000.00
			   12.0  EA	   3,500.00	42,000.00

Bidder Name: J.F. Shea Construction, Inc.

Inc. Bidder ID:VC0000022972

i		D	ID ITEM LIST		
   Item   No.	   Item   Code	Description	Estimated   Quantity  Unit of  Measure	   Unit Price   	   Item Tota1   
  25 	130730   	STREET SWEEPING	   LUMP SUM   LS	   LUMP SUM 	41,000.00
    26 	•	TEMPORARY E WASHOUT	   LUMP SUM   LS	   LUMP SUM 	2,200.00
    27 	141000  (TYPE ES	TEMPORARY FENCE	   2,600.0  LF	   5.00  !	13,000.00
  28 	141120  WASTE 	TREATED WOOD	   9,000.0  LB	   0.22	1,980.00
  29 	-	CONTRACTOR-SUPPL LOGIST (DAY)	   45.0  DAY	   510.00  	22,950.00
  30 	146003  PROTECT:	NATURAL RESOURCE	   LUMP SUM   LS	   LUMP SUM   	2,000.00
  31 	150305  SURFACIN	OBLITERATE NG	   4,220.0  SQYD	   4.00	16,880.00
;  32 	150606  (TYPE BW	REMOVE FENCE N)	   11,800.0  LF	]   3.00	35,400.00
  33   +	032481  VEHICLES			   2,500.00	12,500.00
  34  +	150661   	REMOVE GUARDRAIL	   1,050.0  LF	   5.50	5,775.00
  35 		REMOVE ROADSIDE	   16.0  EA	   200.00  	3,200.00
  36 		REMOVE CULVERT	   840.0  LF	20.00	16,800.00

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-3F7604 Project ID: 0313000165

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID:VC0000022972

			В	TD TI	TEM LIST			
15.0   680.00   10,200.00	•	•	Description	Unit	Quantity  cof		Price	Item Total
38   SURFACING	    37	150820 		     EA	  15.0		680.00  	10,200.00
SA	+ <del>-</del>     38 	•	<b>I</b> G	l	7,500.0	   	13.00  	97,500.00  
40	+     39 	032482		      EA	5.0		440.00	2,200.00  !
41	   <b>4</b> 0 	ASPHALT	CONCRETE			  - 	6.00  	29,340.00
					48.0  	  - 	46.00  	2,208.00
43	+     <b>4</b> 2 !			     LS	LUMP SUM	   LUMP 	SUM	203,000.00
	1 43		DEVELOP WATER	     LS	LUMP SUM	   LUMP 	SUM (	235,000.00
45	   44 		•	    CY	228,000.0	! 	20.25¦	4,617,000.00
46	   45 	194001 		ļ	710.0	     	9.00	6,390.00
47   FERTILIZER   1,780.0   0.55   979.00				ł	311,000.0		14.00	4,354,000.00
48     1,210.0    11.00    13,310.00			ZER				0.55	979.00
	   48   +	204010					11.00	

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID:VC0000022972

	   Item   Code	   Item   Description			Item Total   
    49 	204013	PLANT (GROUP M)	   950.0   EA	24.00	22,800.00
•	204099  ESTABLIS	PLANT SHMENT WORK	! LUMP SUM     LS	LUMP SUM	44,000.00
  51 	205035   	WOOD MULCH	   141.0   CY	14.00	1,974.00
•	205051  PROTECTO 		   410.0   EA	11.00	4,510.00
•		MOVE-IN/MOVE-OUT N CONTROL)	   3.0   EA	800.00	2,400.00
	210110  (CY) 	IMPORTED TOPSOIL	   5,880.0   CY	38.00	223,440.00
  55 !	032511   		   2.5   ACRE	1,000.00	2,500.00
  56 	032512   		   2.5   ACRE	1,500.00	3,750.00
  57 		EROSION CONTROL FIBER MATRIX)	   1,250,000.0   SQFT	0.22	275,000.001 L
  58 	210270  CONTROL  (NETTING		   653,000.0   SQFT	0.40	261,200.00
  59 	210350	FIBER ROLLS	   8,280.0   LF	6.50	53,820.00
	032513  EROSION  ESTABLI		LUMP SUM     LS	LUMP SUM	27,000.00    27,000.00  

Bidder Name: J.F. Shea Construction, Inc.

BID ITEM LIST

Bidder ID:VC0000022972

		·	TO LIEM			
   Item   No.	   Item   Code	!   Item   Description	İ			   Item Total     
  61 	220101  ROADWAY	FINISHING	   LU   LS	   JMP SUM 	LUMP SUM	   16,200.00  
+     62 	260203  AGGREGAT	TE BASE (CY)	   1  CY	  1,700.0  	42.20	   493,740.00  
+    63 	390132  (TYPE A)		    TON	6,780.0    6,780.0	87.00	   589,860.00  
+     64 		RUBBERIZED HOT HALT (GAP GRADED)	     TON	   6,990.0	124.00	   866,760.00  
+     65   		-OPEN GRADED RADED FRICTION	       TON	2,200.0    2,200.0	98.00	   215,600.00  
+    66 	394060   	DATA CORE	l LS	! IMP SUM   	LUMP SUM	   5,400.00
    67 	•		     LF	4,570.0!	1.20	
  68 	•	PLACE HOT MIX (MISCELLANEOUS	    SQYD	  2,930.0	7.00	20,510.00
+    69 	397005   	TACK COAT	    TON	17.0  	870.00	
+    70(f) 		STRUCTURAL E, DRAINAGE INLET	CY 	 20.0  	2,200.00	44,000.00
+    71 	SHEET A	FURNISH SINGLE LUMINUM SIGN -UNFRAMED)	    SQFT	   17.0  	18.00	   306.00

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID:VC0000022972

•	   Item   Code	Description		Unit Price     Unit Price	   Item Total     
   172 	566011  ONE POS		   4.0   EA	   440.00	1,760.00
   73 	SHEET AI	FURNISH SINGLE LUMINUM SIGN UNFRAMED) FOR FLECTIVE SHEETING I)	   34.0       SQFT	20.00	680.00  
   74 	•	RETROREFLECTIVE G (TYPE XI)	   34.0   SQFT	   6.00	204.00
   75 	620100  PIPE CUI		   630.0   LF	   150.00  	94,500.00
   76 	620140  PIPE CU	24" ALTERNATIVE	   940.0   LF	90.00	84,600.00  
77	650018  CONCRETI	24" REINFORCED E PIPE	   150.0   LF	146.00	21,900.00
   78	650034 48" REINFORCED  CONCRETE PIPE 		   320.0  LF	   177.00	56,640.00
		8" ALTERNATIVE DERDRAIN	   470.0  LF	   172.00	80,840.00
		24" CONCRETE END SECTION	   2.0  EA	   800.00	1,600.00
		48" CONCRETE END SECTION	   2.0  EA	   1,600.00	3,200.00
82		18" ALTERNATIVE END SECTION		200.00	2,200.00

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-3F7604 Project ID: 0313000165

Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972
BID ITEM LIST

L	<b></b>		ID ITEM LIST		
   Item   No.	   Item   Code	-	Estimated   Quantity  Unit of  Measure		   Item Total     
    83	•	24" ALTERNATIVE END SECTION	   14.0  EA	   250.00	3,500.00  
84(F)		ROCK SLOPE ION (1/4 T, B) (CY)	   6,160.0  CY	   91.00 	560,560.00    560,560.00
85(F)		ROCK SLOPE ION (LIGHT, B) (CY)	   500.0  CY	   107.00 	53,500.00    53,500.00
[86(F)		ROCK SLOPE ION (1T, METHOD	   26.0  CY	   49.00 	1,274.00
87		ROCK SLOPE ION FABRIC (CLASS	   9,080.0  SQYD	: ! 1.10	9,988.00    9,988.00
	750001  IRON ANI		   3,824.0  LB	1 1 2.00	7,648.00
	800051  METAL PO	FENCE (TYPE WM, DST)	   12,600.0  LF	13.00	163,800.00
  90 	032516  GATE 	24' WIRE MESH	   1.0  EA	   4,000.00	4,000.00
	820108  (CLASS 2	DELINEATOR 2)	   46.0  EA	   54.00	2,484.00
	820111  MARKER	UNDERDRAIN	   2.0  EA	!   54.00	   108.00
   93 	820112 	MARKER (CULVERT)	   44.0  EA	   54.00	   2,376.00  
    94 		HIGHWAY POST	   11.0  EA	   54.00	   594.00

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID:VC0000022972

BID ITEM LIST

+		Item Description	1			t Price	Item Total     Item Total
   95 	TRAFFIC ST	THERMOPLASTIC RIPE (ENHANCED VISIBILITY)	1	33,600.0	 	0.75	25,200.00
   96 	846051 12'  (ASPHALT CO		    STA	255.0  	   	32.00	8,160.00
  97 		VEMENT MARKER ECTIVE-RECESSE	     EA	710.0	 	11.00	7,810.00
   98 	999990 MOI	BILIZATION	     LS	LUMP SUM	LUMP	SUM	1,800,000.00
	Total Bid			!	! 		\$23,064,746.00

. \_\_\_\_\_\_\_\_\_

Bidder ID: VC0000022972 Bidder: J.F. Shea Construction, Inc.

TOTAL BID

TOTAL BID FOR BID ITEMS: \$18,082,696.00 | TOTAL BID FOR TIME: 185 X \$26,930.00 = \$4,982,050.00 | WORKING DAYS BID WORKING DAYS BID (Do not bid less than 185 days and n|

TOTAL BID FOR COMPARISON (COST PLUS TIME): \$23,064,746.00

Contract No. 03-3F7604

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Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972

DES-OE-0102.2C (REV 03/2015)

Bidding Firm: J.F. Shea Construction, Inc.

List this sub? Yes

1) Business Name Apply-A-Line, Inc Location City Anderson State CA California Contractor License Number 562762 Public Works Contractor Registration Number 1000003300 Portion of Work Subcontracted:

Description Item %

- 7 100 CHANNELIZER (SURFACE MOUNTED)
- 95 100 4" THERMOPLASTIC TRAFFIC STRIPE (ENHANCED WET NIGHT VISIBILITY)
- 96 100 12" RUMBLE STRIP (ASPHALT CONCRETE PAVEMENT)
- 97 100 PAVEMENT MARKER (RETROREFLECTIVE-RECESSED)
- 98 .085 MOBILIZATION Partial

List this sub? Yes

2) Business Name Gallaway Enterprises Location City Chico State CA California Contractor License Number N/A - Biologist Public Works Contractor Registration Number 1000001952 Portion of Work Subcontracted:

Item % Description 29 100 CONTRACTOR-SUPPLIED BIOLOGIST (DAY) 30 100 NATURAL RESOURCE PROTECTION PLAN

List this sub? Yes

3) Business Name M & S Environmental Landscapes, Inc. Location City Redding State CA California Contractor License Number 751931 Public Works Contractor Registration Number 1000010084 Portion of Work Subcontracted:

Description 17 100 MOVE-IN/MOVE-OUT (TEMPORARY EROSION CONTROL)

- 18 100 TEMPORARY HYDRAULIC MULCH (BONDED FIBER MATRIX)
- 47 100 PACKET FERTILIZER
- 48 100 PLANT (GROUP 0)
- 49 100 PLANT (GROUP M)

Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972

DES-OE-0102.2C (REV 03/2015)

Bidding Firm: J.F. Shea Construction, Inc.

List this sub? Yes

4) Business Name M & S Environmental Landscapes, Inc. Location City Redding State CA California Contractor License Number 751931 Public Works Contractor Registration Number 1000010084 Portion of Work Subcontracted:

Item % Description

50 100 PLANT ESTABLISHMENT WORK

51 100 WOOD MULCH

52 100 FOLIAGE PROTECTOR

53 100 MOVE-IN/MOVE-OUT (EROSION CONTROL)

57 100 EROSION CONTROL (BONDED FIBER MATRIX) (SQFT)

List this sub? Yes

5) Business Name M & S Environmental Landscapes, Inc. Location City Redding State CA California Contractor License Number 751931 Public Works Contractor Registration Number 1000010084 Portion of Work Subcontracted:

Item % 59 100 FIBER ROLLS Description

16 100 TEMPORARY EROSION CONTROL BLANKET

List this sub? Yes

6) Business Name Knife River Construction Location City Chico State CA California Contractor License Number 091712 Public Works Contractor Registration Number 1000002755 Portion of Work Subcontracted:

Item % Description

63 100 HOT MIX ASPHALT (TYPE A)

- 64 100 RUBBERIZED HOT MIX ASPHALT (GAP GRADED)
- 65 100 HOT MIX ASPHALT-OPEN GRADED (OPEN GRADED FRICTION COURSE)
- 67 100 PLACE HOT MIX ASPHALT DIKE (TYPE D)
- 68 100 PLACE HOT MIX ASPHALT (MISCELLANEOUS AREA)

Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972

DES-OE-0102.2C (REV 03/2015)

Bidding Firm: J.F. Shea Construction, Inc.

List this sub? Yes

7) Business Name Knife River Construction Location City Chico State CA California Contractor License Number 091712 Public Works Contractor Registration Number 1000002755 Portion of Work Subcontracted:

Item %

Description

69 100 TACK COAT

98 7.22 MOBILIZATION - Partial

List this sub? Yes

8) Business Name T and S DVBE, Inc. Location City Anderson State CA California Contractor License Number 983087 Public Works Contractor Registration Number 1000007688 Portion of Work Subcontracted:

Item % Description

- 4 100 CONSTRUCTION AREA SIGNS
- 5 100 TRAFFIC CONTROL SYSTEM
- 6 100 TYPE III BARRICADE
- 8 100 PORTABLE CHANGEABLE MESSAGE SIGN (LS)
- 71 100 FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED)

List this sub? Yes

9) Business Name T and S DVBE, Inc. Location City Anderson State CA California Contractor License Number 983087 Public Works Contractor Registration Number 1000007688 Portion of Work Subcontracted:

Item % Description

- 72 100 ROADSIDE SIGN ONE POST
- 73 100 FURNISH SINGLE SHEET ALUMINUM SIGN (0.063" UNFRAMED) FOR RETROREFLEC
- 74 100 RETROREFLECTIVE SHEETING (TYPE XI)
- 91 100 DELINEATOR (CLASS 2)
- 92 100 UNDERDRAIN MARKER

Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972

DES-OE-0102.2C (REV 03/2015)

Bidding Firm: J.F. Shea Construction, Inc.

List this sub? Yes

10) Business Name T and S DVBE, Inc. Location City Anderson State CA California Contractor License Number 983087 Public Works Contractor Registration Number 1000007688 Portion of Work Subcontracted:

Item %

Description

93 100 MARKER (CULVERT)

94 100 HIGHWAY POST MARKER

List this sub? Yes

11) Business Name All Commercial Fence Location City Waterford State CA California Contractor License Number 823030 Public Works Contractor Registration Number 1000000642 Portion of Work Subcontracted:

Description Item % 89 100 FENCE (TYPE WM, METAL POST) 90 100 24' WIRE MESH GATE

Contract No. 03-3F7604 Page 18

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION Contract No.: 03-3F7604 Project ID: 0313000165 Bidder ID: VC0000022972 Bidder Name: J.F. Shea Construction, Inc. OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS DES-OE-0102.12A(REV. 8/2012) To opt out of payment adjustments for price index fluctuations as specified,

complete this form.

I opt out of the payment adjustments for price index fluctuations.

Date: Bidder's Name:

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Pignaturo:	

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID:VC0000022972

SMALL BUSINESS STATUS

DES-OE-0102.4(REV 3/2008)

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: No Certification number? :

Note: This small business questionnaire is included for statistical reporting

only.

Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972

### CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION Bidder certifies, to the best of his or her knowledge and belief:

- 1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-3F7604 Project ID: 0313000165

Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that

apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for

additional information.

- 1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
  2. Identify the status of the covered Federal action.
- 3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
- 4.Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
- 5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
- 6.Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
- 7.Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
- 8.Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
- 9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
- 10.(a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
- (b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
- 11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID: VC0000022972

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

Bidder Name: J.F. Shea Construction, Inc.

DISCLOSURE OF LOBBYING ACTIVITIES

Bidder ID:VC0000022972 Approved by OMB 0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352 No

- 1. Type of Federal Action:
- 2. Status of Federal Action:
- 3. Report Type:

For Material Change Only:

Year

Quarter

Date of last report

4. Name and Address of Reporting Entity:

Tier , if known

Congressional District, if known:

5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:

Congressional District, if known:

- 6. Federal Department/Agency:
- 7. Federal Program Name/Description:

CFDA Number, if applicable

- 8. Federal Action Number, if known:
- 9. Award amount, if known (\$):
- 10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):
  - b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):
- 11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature:	
Digna care.	

Print Name: Ed Kernaghan Title: Vice President

Telephone No.: 530-246-4292

DATE: 12/13/2016

Federal Use Only:

Authorized for Local Reproduction Standard Form - LLL(Rev. 7-97)

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID: VC0000022972

# EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder J.F. Shea Construction, Inc., proposed subcontractor, certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

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STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION Contract No.: 03-3F7604 Project ID: 0313000165 Bidder Name: J.F. Shea Construction, Inc.

Bidder ID: VC0000022972

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

#### UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code  $\bullet$  6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-3F7604 Project ID: 0313000165

Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972

#### NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of CA County of Shasta

Name Ed Kernaghan,

being first duly sworn, deposes and says that he or she is

Vice President of

J.F. Shea Construction, Inc.

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

#### CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

- 1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
- 2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

## NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

\_\_\_\_\_\_

Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972

#### VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.

#### ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

# PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972

#### BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

- 1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?
- 2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?

  No
- 3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

  No
- 4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:
- 4.1. Date of each nonresponsibility determination
- 4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination
- 4.3. Contract number for each nonresponsibility determination

END CERTIFICATIONS

DES-OE 0102.3(REV 08/2016) Contract No. 03-3F7604
Bond No.
We
as Principal, and
as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,  THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:
WHEREAS, the Principal is submitting a bid to the Obligee, for
CONSTRUCTION ON STATE HIGHWAY IN BUTTE COUNTY NEAR PARADISE FROM 1.9 MILES NORTH OF CLEAR CREEK BRIDGE TO AIRPORT ROAD
In District 03 on Route 191 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 12-13-16.
NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.
In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.
Dated:, 20
Principal:
Surety:
Address of Surety:
(for correspondence or claims)
By
Attorney-in-Fact

Contract No. 03-3F7604

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STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

BIDDER'S BOND

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.
State of California
County of
On this before me,
Date Here insert Name and Title of the Officer
personally appeared
Name(s) of Signer(s)
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
WITNESS my hand and official seal
SIGNATURE
Signature of Notary Public
(SEAL)
(SBAL)



State of California, Department of Transportation - Chris Rice

Bond Number: SCA16529109

#### **Contractor Information**

Principal: J. F. Shea Construction, Inc. 530-246-4292

Address: P. O. Box 494519 Redding California 96049 United States

Contractor's State Vendor ID Number: VC0000022972

#### Owner/Obligee Information

Bond Form: Bid Bond in accordance with Contract Specifications
Owner / Obligee: State of California, Department of Transportation

Address: 1727 30th Street, Bidders` Exchange, M5 26 Sacramento California

95816 United States **Bond Information** 

Surety: Liberty Mutuai Insurance Company

Rider Present: <u>Click here to view</u>
Amount of Bid Security: 10%
Contract ID Number: 03-3F7604

**Description of Job:** Realign curves, widen shoulders. CONSTRUCTION ON STATE HIGHWAY IN BUTTE COUNTY NEAR PARADISE FROM 1.9 MILES NORTH OF CLEAR CREEK BRIDGE TO AIRPORT ROAD. District-County-Route-Post Mile: 03-But-

191-6.B/8.6.

#### 

#### Primary Agency:

Aon Risk Insurance Services West, Inc.
Power of Attorney Limited to: No Stated Limit

Executed By:

Simone Gerhard - 11/22/2016 3:27:03 PM ET

Phone: 213-630-1386

Email: simone.gerhard@aon.com

Know all men by these presents that Liberty Mutual Insurance Company, a Corporation duly organized under the laws of the State of Massachusetts, are held and firmly bound unto the above owner/obligee by this transmission. The surety agrees to waive the Statute of Fraud defense and further agrees that the owner/obligee is a third party beneficiary of the waiver for the purposes of enforcing this bid bond.

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